Casterbridge

SUSTAINABLE IMPACT PORTFOLIOS

For professional advisers only

MAKING AN IMPACT

Our Sustainable Impact Portfolios seek opportunities to ensure your clients' financial and ESG objectives are equally met.

Our diversified, capital preservation focus takes into account the best aspects of Environmental, Social and Governance (ESG) criteria, while targeting additional returns by allocating a key portion of the portfolio to 'impact investments' that offer solutions to the world's greatest challenges.

THE SUSTAINABLE IMPACT PORTFOLIO

investing with impact

Embracing the United Nations' Sustainable Development Goals

We use the United Nations' Sustainable Development Goals as our framework for identifying critical areas of impact. They also help us to measure how each positive impact has been achieved. We believe companies looking to achieve these goals are not only improving quality of life, but have the best chance of outperforming others over the long term.

A Responsible Investment Manager

At Casterbridge Wealth, we integrate ESG risk and opportunity factors directly into our investment decisions across asset classes, as well as engage in active share ownership. We do this as it helps us to keep delivering superior investment outcomes for our investors, and also because it's the responsible thing to do.

A Discretionary Managed Sustainable Investment

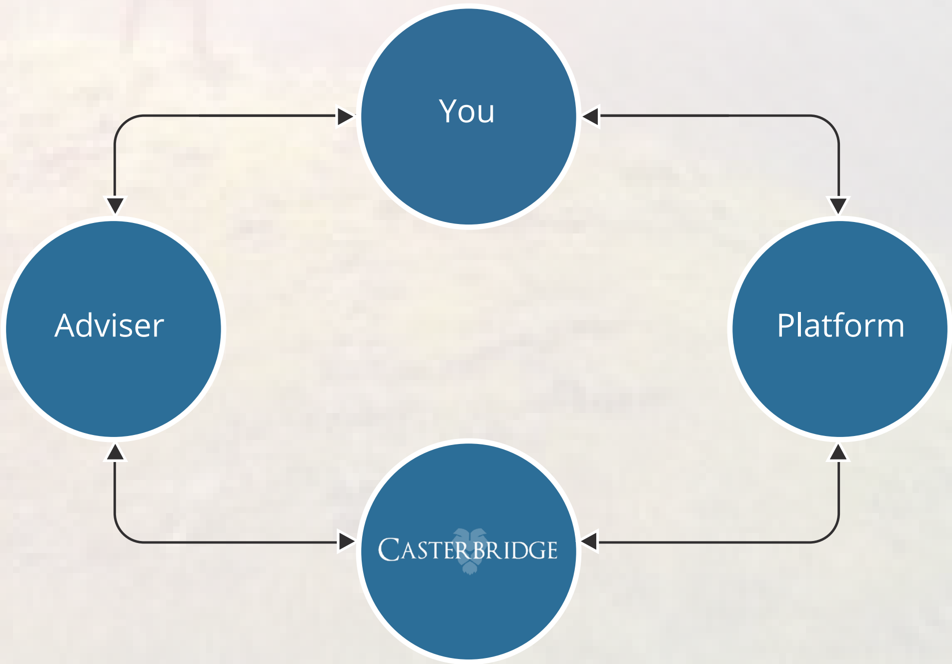
The Casterbridge Sustainable Impact Portfolios finds opportunities to ensure clients' financial and ESG objectives are equally met. Unlike traditional 'ethical' funds, the fund is not hampered by exclusionary criteria or required to take on more risk in the pursuit of returns. Instead, its diversified capital preservation focus takes into account the best aspects of ESG criteria, whilst targeting additional returns by allocating a key portion of the portfolio to 'impact investments' that offer solutions to the world's greatest challenges.

Risk Rated Portfolios

The Casterbridge Sustainable Impact Portfolios offer clients three risk rated portfolios aimed at an investment time horizon of more than five years. The portfolios will include active and passive funds from the very best ESG fund managers from around the world that meet our investment criteria.

WORKING IN TRUE PARTNERSHIP

All our portfolios are designed to meet the business needs of the financial advice profession. We work with advisers to achieve the right investment outcomes for thier clients.



THE KEY BENEFITS OF OUR SUSTAINABLE IMPACT PORTFOLIO

The Casterbridge ESG Client Questionnaire

We're making this process easier by providing advisers with a questionnaire that can be used during the advice process, which will help to document clients' attitudes to Environmental, Social and Governance (ESG) factors. Once a client's attitude has been established, their answers can determine whether an ESG-based investment is appropriate.

TIME & FOCUS

It's often underestimated just how much time is needed to build, run and manage robust investment models within an IFA business – time that could be spent on what clients really value – You!

TIME MANAGEMENT

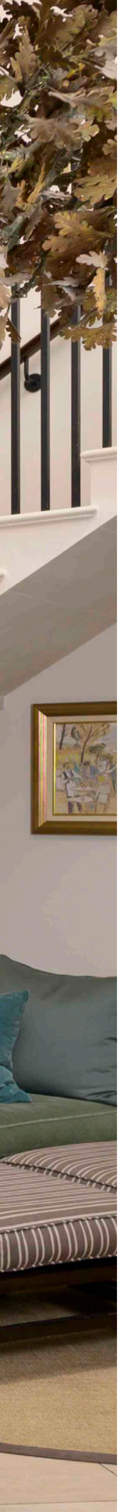
Running in-house investment models on an advisory basis is an administration and regulatory burden. We provide real-time investment management with quarterly and tactical rebalances.

STAFFING COST

Building and running adviser models, including investment research and compliance staff can be a considerable cost burden on your business – not to mention the often over looked cost of advisory staff spending time away from seeing clients

COMPLIANCE

The regulatory demands for IFA firms running in-house advisory portfolios has become a growing problem over recent years. New requirements including investment research, best execution, liquidity assessments, 10% drop letters and quarterly reporting are adding to advisers already extensive 'to-do' list.



ABOUT CASTERBRIDGE

We are an independent muti-award-winning Discretionary Fund Management business, inspired by the lack of choice and variation available to Financial Advisers

We offer something a little different to the Financial Adviser Profession: Independent, Adaptive, Responsive and Agile Investment management for your clients.

We focus on supporting the interests and success of your business and work with you to deliver your clients' financial goals and aspirations.

Keith Edwards, CEO & Chief Investment Officer

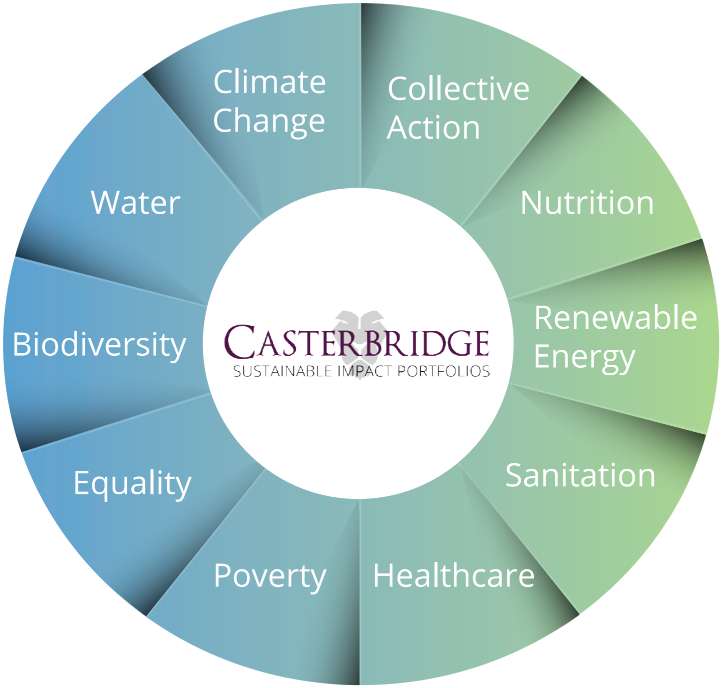
" We are truly active – delivering the highest levels of service & performance are at the core of everything we do"

OUR ACTIVE APPROACH

Our investment beliefs are based on a truly active approach to ESG investing, giving us the best opportunity to take advantage of market movements.

More than just a traditional 'ethical' portfolio

We do not explicitly exclude any asset or sector from our investment universe, as we believe this encourages higher risk-taking and fails to maximise investment opportunities. Whilst the portfolio is invested in sustainable assets, the equity portion is invested in 'impact' investments that are specifically targeting some of the world's toughest challenges. Our investment team has identified 10 sustainable impact themes at the forefront of meaningful, positive change. These themes are:



Case study: Healthcare, a dominant theme

On the contrary, we believe companies that set out to achieve a positive impact on society through one or more of these 10 themes, are more likely to deliver a better investment return over the long term; take Healthcare as an example.

Healthcare: a dominant theme

As the population grows older and people are living longer, the demand for healthcare rises dramatically. Global emergencies such as the Coronavirus pandemic have demonstrated just how urgent the demand for medical drugs and emergency healthcare can be. Our investment team invests in companies that are looking to deliver innovation in healthcare services, particularly those finding new ways to prevent disease, providing more patient-centred care to the ageing population, and changing the way healthcare providers deliver their services to communities.

SUSTAINABLE IMPACT PORTFOLIOS

The world is changing, investing now is much more than making a profit. More of your clients believe their portfolios should reflect their personal values and they want to see decisive action taken on issues such as climate change, renewable energy, equality, poverty, healthcare, clean water and sanitation. Responsible investing is no longer a trend, it's a necessity.

The Sustainable Impact Portfolios are available on a wide range of investment platforms and via our Direct Custody service. Please contact us for the latest platform availability.

KEY FACTS

Real-time investment management with quarterly and tactical rebalances

Fees
Platforms: 0.3% (no VAT)
Direct Custody: 0.75%
(No VAT - includes platform fee)

- Designed to meet ESG and financial objectives
- Aligned with UN Sustainable Development Goals
- Impact investments that offer solutions to the world's greatest challenges.

Benefits of using our Direct Custody service:

- Platform fee included in our AMC
- We handle 10% drop letters (when required)
- Bed and ISA service available
- In house Casterbridge Wealth administration team

CONTACT US

Please contact your dedicated Relationship Manager:
Matthew Cheek

0800 644 4848

07917 080361

matthew.cheek@casterbridgewealth.co.uk

Important information

Casterbridge Wealth Limited is authorised and regulated by the Financial Conduct Authority (FCA).

Details of our authorisation are available on the FCA register under firm reference number 727583; /register.

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