



**Form 300W**

*eSign*

# Offshore Portfolio Bond Account Form

## Investment Management Platform

Please complete all sections electronically  
or in BLOCK capitals using blue or black ink.

Client Name

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### Affiliated Firm Contact Details

Location Code

Responsibility Code

Investment Decision Maker Code

Affiliated Firm Contact

**Raymond James Head Office Use Only**

Account reference RK

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## RAYMOND JAMES

Casterbridge Wealth Limited, your Financial Intermediary, is authorised and regulated by the Financial Conduct Authority.

Raymond James Investment Services Limited is a company registered in England and Wales (Reg. No. 03779657). Our registered office is at Ropemaker Place, 25 Ropemaker Street, London EC2Y 9LY, which is also our principal place of business.

Pershing Securities Limited is a company registered in England and Wales (Reg. No. 02474912). Its registered office is at Royal Liver Building, Pier Head, Liverpool L3 1LL.

Both Raymond James Investment Services Limited and Pershing Securities Limited are members of the London Stock Exchange and are authorised and regulated by the Financial Conduct Authority which is located at 12 Endeavour Square, London E20 1JN.

OFFSHORE PORTFOLIO BOND ACCOUNT FORM  
INVESTMENT MANAGEMENT PLATFORM

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**OPB Provider Details**

OPB Provider

**Correspondence Address**

Address line 1

Address line 2

Address line 3

City/Town

Postcode

Country

Contact telephone number

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**OPB Account Details**

Individual/Joint OPB

OPB Account Name

OPB Policy Number

Trust/Corporate OPB

OPB Account Name

OPB Policy Number

# OFFSHORE PORTFOLIO BOND ACCOUNT FORM

## INVESTMENT MANAGEMENT PLATFORM

### Bond Owner Details

	Policyholder 1				Policyholder 2			
Relationship to account	Owner	Trustee			Owner	Trustee		
Title								
Forename								
Middle name								
Surname								
Date of birth		DD	MM	YYYY		DD	MM	YYYY
Gender	Male	Female			Male	Female		
<b>Primary Residential Address</b>								
Address line 1								
Address line 2								
Address line 3								
City/Town								
Postcode								
Country								
Correspondence address <i>if different from above</i>								
Contact telephone number(s)		Mobile	Home			Mobile	Home	
Email address								
Countries of Tax Residency <i>state primary first</i>								
Tax Residency Identifier No.*								
Are you a US Person? **	No	Yes			No	Yes		
Countries of Citizenship <i>state primary first</i>								
Nationality <i>state primary first</i>								
National Identifier Type: <i>NINO/Passport/TIN/CONCAT</i>	N	P	T	C	N	P	T	C
National Identifier No.***								
Place of birth		Town						
		Country						
Occupation								

\* If you have a National Insurance number, this can be found on your National Insurance Card, payslip, form P45 or P60, pension order book or letters from HM Revenue and Customs or Department for Work and Pensions.

\*\* We have limited options for US Persons. For a definition of US Person please refer to the IRS website:  
<https://www.irs.gov/individuals/international-taxpayers/foreign-persons>

\*\*\* Please be aware that the National Identifier no. can be different to your Tax Residency Identifier no.; please refer to page 18 and 19  
[http://ec.europa.eu/finance/securities/docs/isd/mifid/rtts/160728-rtts-22-annex\\_en.pdf](http://ec.europa.eu/finance/securities/docs/isd/mifid/rtts/160728-rtts-22-annex_en.pdf)

OFFSHORE PORTFOLIO BOND ACCOUNT FORM  
INVESTMENT MANAGEMENT PLATFORM

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**Attorney/Deputy Details** *if acting as Attorney or Deputy*

	Attorney/Deputy 1		Attorney/Deputy 2	
	Attorney	Deputy	Attorney	Deputy
Attorney/Deputy Type				
Title				
Forename				
Middle name				
Surname				
Date of birth		DD MM YYYY		DD MM YYYY
Gender	Male	Female	Male	Female
<b>Primary Residential Address</b>				
Address line 1				
Address line 2				
Address line 3				
City/Town				
Postcode				
Country				
Correspondence address <i>if different from above</i>				
Contact telephone number(s)	Mobile	Home	Mobile	Home
Email address				

# OFFSHORE PORTFOLIO BOND ACCOUNT FORM

## INVESTMENT MANAGEMENT PLATFORM

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### Reporting

#### Custody Statement

You will receive a period record of your holdings, held in nominee on your behalf by PSL in the form of a Custody Statement. This is sent to you by PSL in order to meet its regulatory obligations as custodian of your assets. Please note; future Custody Statements may be incorporated into your quarterly valuation.

#### Valuation Frequency

For Managed Discretionary Portfolios, valuations will be provided on a quarterly basis.

For Advisory Portfolio/Advisory Dealing/Execution Only Portfolios please select a frequency below:

Quarterly (March, June, September, December)

Half Yearly (June, December)

Yearly (December)

#### Valuation Reporting Currency

Valuations are reflected in GBP as **default**.

If you wish for your valuation to report in a different currency please specify the currency here:

**Important note:** once transactions have taken place on the account, the reporting currency cannot be changed.

#### Valuation Consolidation

##### Option 1

I/We would like a standalone valuation for this new account.

Valuation Title\*

\*Maximum of 32 characters

##### Option 2

I/We wish to consolidate this new account with another existing Raymond James Account:

Account Reference No. **RK**

Relationship to  
Account

Account Name

Account Type

**Important Note:** The existing Raymond James Account will remain the Master Valuation account. If you require the valuation title to be updated (e.g. from Mr Smith to Mr & Mrs Smith) please detail the new valuation title below:

Valuation Title\*

\*Maximum of 32 characters

##### Option 3

I/We wish to consolidate this new account with another new Raymond James Account:

Account Name

Account Type

Relationship to account

Which of these accounts will be considered  
the Master Valuation account?

Valuation Title\*

\*Maximum of 32 characters

**Important Note:** If this option is selected we must receive the relevant new account packs at the same time to facilitate the consolidation.

# OFFSHORE PORTFOLIO BOND ACCOUNT FORM

## INVESTMENT MANAGEMENT PLATFORM

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### Contract Notes

Raymond James will generate a contract note confirming details of the trade(s) executed on your behalf.

If you would prefer for your Financial Intermediary to receive your contract notes on your behalf, please review the following declaration and confirm accordingly.

**I/We understand:**

- by ticking this box, I am/we are instructing Raymond James to send any contract notes to my/our Financial Intermediary, as named in the *Raymond James' Investment Management Platform Terms of Business*, who has agreed to receive these on my/our behalf;
- this means that I/we will not receive contract notes following the execution of any trades placed on my/our account; and
- should I/we require copies of these contract notes, these will be available from my/our Financial Intermediary upon request.

*Contract notes will be sent or made available to you as soon as possible, and no later than the first business day following the transaction.*

If required, a separate trade confirmation will be dispatched to the Offshore Bond Provider.

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### Client Access

Where you already have Client Access, this account along with any other new accounts will automatically be linked and viewable through your existing access.

#### Policyholder 1

Do you require access/continued access to view your accounts online?    Yes                      No

#### Policyholder 2

Do you require access/continued access to view your accounts online?    Yes                      No

By selecting yes, you are asking Raymond James to provide you with login details to your account(s) via the Raymond James Client Access Portal. Terms and Conditions of usage will be made available to you and will need to be accepted prior to you using this tool for the first time.

#### Important Notes:

- *If you require Client Access please ensure you have provided an email address and at least one telephone number in the personal details section.*
- *Where you have provided more than one telephone number and one option is a mobile telephone number, the mobile telephone number will be used as the default for two-factor authentication when logging into Client Access.*
- *If you wish to grant a third party access to view your accounts online via Client Access please complete the 'Third Party Request Form' and tick 'Client Access'. Please be aware that in order for a Third Party to be added to a OPB, we will require approval from the OPB provider before authority is granted.*

# OFFSHORE PORTFOLIO BOND ACCOUNT FORM

## INVESTMENT MANAGEMENT PLATFORM

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### Declaration

**I/We declare, I/we:**

- have read this form and to the best of my/our knowledge and belief, the information I/we have provided is correct; and
- shall notify my/our Financial Intermediary immediately of any change to: i) the information in this *Account Form*; and/or ii) my/our personal circumstances.

**I/We acknowledge that:**

- by signing this Account Form Raymond James will open an account within the Offshore Bond;
- Raymond James will manage the assets within your Offshore Bond account in accordance with the *Raymond James' Investment Management Platform Terms of Business* and the conditions of the Offshore Bond Provider; and
- the Offshore Bond Provider may issue instructions in respect of these assets which contradict the preferences I/we have expressed and that Raymond James would be obliged to follow such instructions.

**By completing and signing this Account Form, I/we:**

- confirm I/we have been provided with *Raymond James' Investment Management Platform Terms of Business* and the *Schedule of Fees and Charges* which I/we have had the opportunity to read;
- confirm I/we wish to enter into the Agreement with Raymond James and understand the Agreement consists of this *Account Form*, the *Raymond James Investment Management Platform Terms of Business*, the *Schedule of Fees and Charges* and the *Rates and Charges* document; and
- consent to Raymond James validating my/our personal identification and verifying my/our address internally or through a third party.

### Policyholder 1

Full name *Please print*

Capacity

Account Owner

or state capacity in  
which you are acting

Signature

 Please sign here

Date

DD MM YYYY

### Policyholder 2

Full name *Please print*

Capacity

Account Owner

or state capacity in  
which you are acting

Signature

 Please sign here

Date

DD MM YYYY

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### Financial Intermediary Declaration

**I declare, I:**

- have read this form and confirm that the information provided is correct to the best of my knowledge;
- agree to notify Raymond James immediately of any significant changes; and
- may only invest in assets which are permissible under UK HM Revenue & Customs (HMRC) regulations and that are within the chosen OPB Provider's current permitted investments list.

Full name *Please print*

Signature

 Please sign here

Date

DD MM YYYY