

INTRODUCER AUTHORITY FORM INVESTMENT MANAGEMENT PLATFORM

Financial Intermediary Use Only

Office Details

Location Code

RSP Code

Introducer Code

SOFAC Package

___ SOFAC ___

**Please note that the SOFAC package needs to be set-up prior to account opening and should be inclusive of the introducer fees stated overleaf (where relevant). Should you require any assistance with this set up, please contact your Relationship Manager.*

Client Details

Client Name

Existing Account Reference **RK**

Introducer Use Only

Please return original completed and signed form to your Financial Intermediary.

Introducing Firm's details

Name of Introducing Firm

Address line 1

Address line 2

Address line 3

City/Town

Postcode

Country

Primary contact telephone number

Email address

FCA registered number

Introducer's name (Financial Adviser)

Client's expected value of initial investment

