



CASTERBRIDGE WEALTH
INVESTMENTS

Form 300W

Offshore Portfolio Bond Account Form

Investment Management Platform

Please complete all sections electronically
or in BLOCK capitals using blue or black ink.

Client Name

Affiliated Firm Contact Details

Location Code

Responsibility Code

Investment Decision Maker Code

Affiliated Firm Contact

Raymond James Head Office Use Only

Account reference RK

RAYMOND JAMES

Casterbridge Wealth Limited, your Financial Intermediary, is authorised and regulated by the Financial Conduct Authority.

Raymond James Investment Services Limited is a company registered in England and Wales (Reg. No. 03779657). Our registered office is at Ropemaker Place, 25 Ropemaker Street, London EC2Y 9LY, which is also our principal place of business.

Pershing Securities Limited is a company registered in England and Wales (Reg. No. 02474912). Its registered office is at Royal Liver Building, Pier Head, Liverpool L3 1LL.

Both Raymond James Investment Services Limited and Pershing Securities Limited are members of the London Stock Exchange and are authorised and regulated by the Financial Conduct Authority which is located at 12 Endeavour Square, London E20 1JN.

OFFSHORE PORTFOLIO BOND ACCOUNT FORM
INVESTMENT MANAGEMENT PLATFORM

OPB Provider Details

OPB Provider

Correspondence Address

Address line 1

Address line 2

Address line 3

City/Town

Postcode

Country

Primary Contact Telephone number

OPB Account Details

Individual/Joint OPB

OPB Account Name

OPB Policy Number

Trust/Corporate OPB

OPB Account Name

OPB Policy Number

OFFSHORE PORTFOLIO BOND ACCOUNT FORM

INVESTMENT MANAGEMENT PLATFORM

Bond Owner Details

	Policyholder 1			Policyholder 2		
Relationship to account	Owner	Trustee		Owner	Trustee	
Title						
Forename						
Middle name						
Surname						
Date of birth		DD MM YYYY		DD MM YYYY		
Gender	Male	Female		Male	Female	

Primary Residential Address

Address line 1

Address line 2

Address line 3

City/Town

Postcode

Country

Correspondence address

if different from above

Primary contact telephone number

Email address

Countries of Tax Residency

state primary first

Tax Residency Identifier No.*

Are you a US Person? ** No Yes No Yes

Countries of Citizenship

state primary first

Nationality

state primary first

Occupation

* If you have a National Insurance number, this can be found on your National Insurance Card, payslip, form P45 or P60, pension order book or letters from HM Revenue and Customs or Department for Work and Pensions.

** We have limited options for US Persons. For a definition of US Person please refer to the IRS website: <https://www.irs.gov/individuals/international-taxpayers/foreign-persons>

OFFSHORE PORTFOLIO BOND ACCOUNT FORM
INVESTMENT MANAGEMENT PLATFORM

Attorney Details *if applicable*

Attorney 1

Attorney 2

Attorney Type

Title

Forename

Middle name

Surname

Date of birth

DD MM YYYY

DD MM YYYY

Gender

Male

Female

Male

Female

Primary Residential Address

Address line 1

Address line 2

Address line 3

City/Town

Postcode

Country

Correspondence address

if different from above

Primary contact telephone number

Email address

OFFSHORE PORTFOLIO BOND ACCOUNT FORM

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Reporting

Custody Statement

You will receive a periodic Custody Statement which contains a record of your holdings, held in nominee on your behalf by PSL. PSL sends this statement to you to meet its regulatory requirements as custodian of your assets.

Valuation Frequency

For Managed Discretionary Portfolios, valuations will be provided on a quarterly basis.

For Advisory Portfolio/Advisory Dealing/Execution Only Portfolios please select a frequency below:

Quarterly (March, June, September, December)

Half Yearly (June, December)

Yearly (December)

Valuation Reporting Currency

Valuations are reflected in GBP as **default**.

If you wish for your valuation to report in a different currency please specify the currency here:

Important note: once transactions have taken place on the account, the reporting currency cannot be changed.

Valuation Consolidation

Option 1

I/We would like a standalone valuation for this new account.

Valuation Title*

*Maximum of 32 characters

Option 2

I/We wish to consolidate this new account with another existing Raymond James Account:

Account Reference No. **RK**

Relationship to
Account

Account Name

Account Type

Important Note: The existing Raymond James Account will remain the Master Valuation account. If you require the valuation title to be updated (e.g. from Mr Smith to Mr & Mrs Smith) please detail the new valuation title below:

Valuation Title*

*Maximum of 32 characters

Option 3

I/We wish to consolidate this new account with another new Raymond James Account:

Account Name

Account Type

Relationship to account

Which of these accounts will be considered the Master Valuation account?

Valuation Title*

*Maximum of 32 characters

Important Note: If this option is selected we must receive the relevant new account packs at the same time to facilitate the consolidation.

OFFSHORE PORTFOLIO BOND ACCOUNT FORM

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Contract Notes

Raymond James will generate a contract note confirming details of the trade(s) executed on your behalf.

If you would prefer for your Financial Intermediary to receive your contract notes on your behalf, please review the following declaration and confirm accordingly.

I/We understand:

- by ticking this box, I am/we are instructing Raymond James to send any contract notes to my/our Financial Intermediary, as named in the *Raymond James' Investment Management Platform Terms of Business*, who has agreed to receive these on my/our behalf;
- this means that I/we will not receive contract notes following the execution of any trades placed on my/our account; and
- should I/we require copies of these contract notes, these will be available from my/our Financial Intermediary upon request.

Contract notes will be dispatched to your correspondence address, via first class post. For securities trades, contract notes will be dispatched by the end of the business day after the trade has been executed. For fund trades, contract notes are produced following receipt of confirmation from the fund company.

If required, a separate trade confirmation will be dispatched to the Offshore Bond Provider.

Client Access

Where you already have Client Access, this account along with any other new accounts will automatically be linked and viewable through your existing access.

Polciyholder 1

Do you require access/continued access to view your accounts online? Yes No

Polciyholder 2

Do you require access/continued access to view your accounts online? Yes No

By selecting yes, you are asking Raymond James to provide you with login details to your account(s) via the Raymond James Client Access Portal. Terms and Conditions of usage will be made available to you and will need to be accepted prior to you using this tool for the first time.

Important note: *If you have selected yes please ensure you have provided an email address in the personal details section.*

OFFSHORE PORTFOLIO BOND ACCOUNT FORM

INVESTMENT MANAGEMENT PLATFORM

Declaration

I/We declare, I/we:

- have read this form and to the best of my/our knowledge and belief, the information I/we have provided is correct; and
- shall notify my/our Financial Intermediary immediately of any change to: i) the information in this *Account Form*; and/or ii) my/our personal circumstances.

I/We acknowledge that:

- by signing this Account Form Raymond James will open an account within the Offshore Bond;
- Raymond James will manage the assets within your Offshore Bond account in accordance with the *Raymond James' Investment Management Platform Terms of Business* and the conditions of the Offshore Bond Provider; and
- the Offshore Bond Provider may issue instructions in respect of these assets which contradict the preferences I/we have expressed and that Raymond James would be obliged to follow such instructions.

By completing and signing this Account Form, I/we:

- confirm I/we have been provided with *Raymond James' Investment Management Platform Terms of Business* and the *Schedule of Fees and Charges* which I/we have had the opportunity to read;
- confirm I/we wish to enter into the Agreement with Raymond James and understand the Agreement consists of this *Account Form*, the *Raymond James' Investment Management Platform Terms of Business*, the *Schedule of Fees and Charges* and the *Rates and Charges* document; and
- consent to Raymond James validating my/our personal identification and verifying my/our address internally or through a third party.

Policyholder 1

Full name *Please print*

Capacity

Owner Trustee or state capacity in
which you are acting

Signature



Date

DD MM YYYY

Policyholder 2

Full name *Please print*

Capacity

Owner Trustee or state capacity in
which you are acting

Signature



Date

DD MM YYYY

Financial Intermediary Declaration

I declare, I:

- have read this form and confirm that the information provided is correct to the best of my knowledge;
- agree to notify Raymond James immediately of any significant changes; and
- may only invest in assets which are permissible under UK HM Revenue & Customs (HMRC) regulations and that are within the chosen OPB Provider's current permitted investments list.

Full name *Please print*

Signature



Date

DD MM YYYY