



CASTERBRIDGE WEALTH
INVESTMENTS

Form 202W

ISA Consolidation Form

Investment Management Platform

Please complete all sections electronically
or in BLOCK capitals using blue or black ink.

Client Name

Affiliated Firm Contact Details

Location Code

Responsibility Code

Investment Decision Maker Code

Affiliated Firm Contact

Raymond James Head Office Use Only

Account reference RK

Wealth Manager's Use Only

Existing account reference RK

RAYMOND JAMES

Casterbridge Wealth Limited, your Financial Intermediary, is authorised and regulated by the Financial Conduct Authority.

Raymond James Investment Services Limited is a company registered in England and Wales (Reg. No. 03779657). Our registered office is at Ropemaker Place, 25 Ropemaker Street, London EC2Y 9LY, which is also our principal place of business.

Pershing Securities Limited is a company registered in England and Wales (Reg. No. 02474912). Its registered office is at Royal Liver Building, Pier Head, Liverpool L3 1LL.

Both Raymond James Investment Services Limited and Pershing Securities Limited are members of the London Stock Exchange and are authorised and regulated by the Financial Conduct Authority which is located at 12 Endeavour Square, London E20 1JN.

Current Provider Details

ISA Manager

Address line 1

Address line 2

Address line 3

City/Town

Postcode

Account
Reference(s)

Personal Details

Title

Forename

Middle name

Surname

Primary Residential Address

Address line 1

Address line 2

Address line 3

City/Town

Postcode

Country

Date of birth DD MM YYYY

National Insurance
No.*

* Your National Insurance number can be found on your National Insurance Card, payslip, form P45 or P60, pension order book or letters from HM Revenue and Customs or Department for Work and Pensions.

Authorisation to Current Provider

I authorise you to accept Raymond James instructions regarding the transfer of my ISA as follows:

Type of ISA to Transfer

– Transfer Instructions

Cash ISA

Stocks and Shares ISA

Transfer stock and cash to Raymond James (IN-SPECIE), or as a combination of stock and cash specified separately

Stocks and Shares ISA

Sell the holdings and transfer the proceeds along with all other cash in the account (ENCASH)

Transfer Entire ISA (all years) subscriptions

Transfer current year's ISA subscription only

If you want to transfer money you've invested in an ISA during the current year, you must transfer all of it.

Transfer previous year's ISA subscriptions – ALL

For money you invested in previous years, you can choose to transfer all or part of your savings.

Transfer previous year's ISA subscriptions – PARTIAL

For money you invested in previous years, you can choose to transfer all or part of your savings.

Partial Transfer (previous year's ISA subscriptions only) £

– specific cash amount

Combination of stock and cash specific details *if applicable:*

Administrative Arrangements

Transfer as instructed above into my Raymond James account number RK

SHD

If you do not already have an Raymond James account, please see your Financial Intermediary for account opening documentation. If you wish to make a subscription for the current tax year, please see your Financial Intermediary for an ISA Application Form.

Important Information

Before signing this consolidation form, it is important that you read and understand the following information.

Your Financial Intermediary may advise you as to whether to request that your ISA holdings are transferred to Raymond James intact, that they are liquidated and transferred to Raymond James in cash or that they are transferred as a combination of cash and stock in accordance with specific instructions. Please note that your current provider may or may not be able or willing to comply with your request. The transfer value of your ISA, and therefore the level of income and growth that you are receiving from it, may be adversely affected by any or all of the following:

- Exit and/or administrative charges levied by your existing provider. Information about these charges should be obtained from your existing provider.
- Initial charges and/or transaction costs (your Financial Intermediary will notify you of these).
- Market movements during the transfer period (especially if the transfer is being made in cash).

If you have any questions about this, please seek clarification from your Financial Intermediary before signing.

This letter also serves as authorisation to release data regarding my accounts and assets to Raymond James.

Full name
please print

Date

DD

MM

YYYY

Signature

 Please sign here